Two-Dimensional Tomography from Noisy Projection Tilt Series Taken at Unknown View Angles with Non-Uniform Distribution

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Joint work with Zhizhen Zhao

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# Motivation & Intro: A Statistical Estimation Problem



- Unknown 2-D object *f*, and unknown non-uniform view angle distribution **p**(θ).
- Observation model:  $\mathbf{y}_{i,\kappa} = \mathcal{P}_{\theta_i + \kappa \alpha}(f) + \mathbf{n}_{i,\kappa}, \forall i \in [N], |\kappa| \leq K$ .
- Parameters of interest: f and  $\mathbf{p}(\theta)$ .

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# Related Works and Problems

- Existing methods focus on estimating view angles.
  - S. Basu and Y. Bresler<sup>1</sup>:
    - View angle ordering via nearest neighbor;
    - Joint maximum likelihood refinement.
  - A. Singer and H. Wu<sup>2</sup>:
    - Denoising (e.g., linear Wiener filtering and graph denoising);
    - Diffusion maps for view angle ordering.
  - Cons: Poor performance under low SNR; Computationally inefficient with large number of projections.

<sup>&</sup>lt;sup>1</sup>Samit Basu and Yoram Bresler, Feasibility of tomography with unknown view angles, IEEE Transactions on Image Processing 9 (2000), no.6, 1107-1122.

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  - Cons: Poor performance under low SNR; Computationally inefficient with large number of projections.
- Related problems:
  - 1-D: Multi-segment reconstruction (MSR).
  - 3-D: Sub-tomogram averaging (STA) in cryo-ET and single-particle reconstruction (SPR) in cryo-EM.

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# Proposed Method

- Method of Moments (MoM):
  - Conjecture: first and second order moments may contain sufficient information for the recovery.
  - Difficulty: algorithm by directly inverting the first and second order moments is unavailable with unknown non-uniform view angle distribution p(θ).
  - Solution: use nonconvex optimization methods to solve constrained weighted nonlinear least squares of first and second order moments.

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  - Solution: use nonconvex optimization methods to solve constrained weighted nonlinear least squares of first and second order moments.
- Pros: lower computational complexity. (N : # of data)
  - MoM:  $\mathcal{O}(N)$  for moments (once) and  $\mathcal{O}(1)$  for each iteration.
  - MLE (e.g., EM):  $\mathcal{O}(N)$  for each iteration.

#### Moment Features

- Fourier domain:  $\mathcal{F}(f)(\xi,\theta) \approx \sum_{k=-k_{\max}}^{k_{\max}} \sum_{q=1}^{q_k} a_{k,q} \psi_c^{k,q}(\xi,\theta).$
- Fourier slice theorem:  $\widehat{\mathbf{y}}_{i,\kappa}[\xi_j] = \mathcal{F}(f)(\xi_j, \theta_i + \kappa \alpha) + \widehat{\mathbf{n}}_{i,\kappa}[\xi_j].$
- First order moment:

$$\boldsymbol{\mu}\left[j;\kappa\right] = \sum_{k=-k_{\max}}^{k_{\max}} \sum_{q=1}^{q_k} \sum_{l=0}^{n_{\theta}-1} a_{k,q} \psi_c^{k,q}\left(\xi_j, \phi_l + \kappa\alpha\right) \mathbf{p}[l]$$
$$= \boldsymbol{\Psi}\left(\mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}})\right) \left[j;\kappa\right].$$

Second order moment:

$$\begin{aligned} \mathbf{C}[j_{1};\kappa_{1},j_{2};\kappa_{2}] &= \sum_{k_{1}=-k_{\max}}^{k_{\max}} \sum_{k_{2}=-k_{\max}}^{k_{\max}} \sum_{q_{1}=1}^{q_{k_{1}}} \sum_{q_{2}=1}^{q_{k_{2}}} a_{k_{1},q_{1}} \overline{a_{k_{2},q_{2}}} \\ &\times \psi_{c}^{k_{1},q_{1}} \left(\xi_{j_{1}},\kappa_{1}\alpha\right) \overline{\psi_{c}^{k_{2},q_{2}} \left(\xi_{j_{2}},\kappa_{2}\alpha\right)} \widehat{\mathbf{p}}[k_{2}-k_{1}] \\ &= \left(\mathbf{\Psi} \left(\mathbf{aa}^{*} \circ \mathbf{H}(\widehat{\mathbf{p}})\right) \mathbf{\Psi}^{*}\right) [j_{1};\kappa_{1},j_{2};\kappa_{2}]. \end{aligned}$$

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#### Moment Features

• Unbiased empirical estimators:

$$\widetilde{\mu} = \frac{1}{N} \sum_{i=1}^{N} \widehat{\mathbf{y}}_i, \quad \widetilde{\mathbf{C}} = \frac{1}{N} \sum_{i=1}^{N} \widehat{\mathbf{y}}_i \widehat{\mathbf{y}}_i^* - \widehat{\mathbf{\Sigma}}.$$

• Constrained weighted nonlinear least squares:

$$\begin{split} (\widetilde{\mathbf{a}},\,\widetilde{\mathbf{p}}) &= \mathop{\arg\min}_{\mathbf{a},\mathbf{p}} \; \frac{\frac{\lambda_1}{2} \|\Psi\left(\mathbf{a}\circ\mathbf{g}(\widehat{\mathbf{p}})\right) - \widetilde{\mu}\|_w^2}{+\frac{\lambda_2}{2} \|\Psi\left(\mathbf{a}\mathbf{a}^*\circ\mathbf{H}(\widehat{\mathbf{p}})\right)\Psi^* - \widetilde{\mathbf{C}}\|_W^2},\\ \text{s.t.} \quad \mathbf{p} \geq \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{split}$$

• Gradient based methods (e.g., gradient descent and trust region) do not work well.

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• Reformulation and relaxation: split **a** into **a** and **z**, and relax the positive constraint on **p**.

$$\begin{split} (\widetilde{\mathbf{a}}, \, \widetilde{\mathbf{p}}) &= \operatorname*{arg\,min}_{\mathbf{a}, \mathbf{p}} \, \frac{\frac{\lambda_1}{2} \| \Psi \left( \mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2}{+ \frac{\lambda_2}{2} \| \Psi \left( \mathbf{a} \mathbf{a}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \|_W^2, \\ \text{s.t.} \quad \mathbf{p} &\geq \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{split}$$

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$$\begin{aligned} (\widetilde{\mathbf{a}}, \widetilde{\mathbf{z}}, \widetilde{\mathbf{p}}) &= \argmin_{\mathbf{a}, \mathbf{z}, \mathbf{p}} \quad \frac{\frac{\lambda_1}{2} \| \Psi \left( \mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 + \frac{\lambda_1}{2} \| \Psi \left( \mathbf{z} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 \\ &+ \frac{\lambda_2}{2} \| \Psi \left( \mathbf{a} \mathbf{z}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \|_W^2 \\ \text{s.t.} \quad \mathbf{a} &= \mathbf{z}, \quad \mathbf{p} \ge \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{aligned}$$

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$$\begin{aligned} &(\widetilde{\mathbf{a}}, \widetilde{\mathbf{z}}, \widetilde{\mathbf{p}}) = \argmin_{\substack{\mathbf{a}, \mathbf{z}, \mathbf{p} \\ \mathbf{s}, \mathbf{t}. \\$$

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$$\begin{aligned} (\widetilde{\mathbf{a}}, \widetilde{\mathbf{z}}, \widetilde{\mathbf{p}}) &= \operatorname*{arg\,min}_{\mathbf{a}, \mathbf{z}, \mathbf{p}} \quad \frac{\lambda_1}{2} \| \Psi \left( \mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 + \frac{\lambda_1}{2} \| \Psi \left( \mathbf{z} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \|_w^2 \\ &+ \frac{\lambda_2}{2} \| \Psi \left( \mathbf{a} \mathbf{z}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \|_W^2 \\ &\text{s.t.} \quad \mathbf{a} = \mathbf{z}, \quad \widetilde{\mathbf{p}} \geq \mathbf{0} \quad \text{and} \quad \mathbf{1}^\top \mathbf{p} = 1. \end{aligned}$$

Augmented Lagrangian:

$$\begin{split} \mathcal{L}(\mathbf{a},\mathbf{z},\mathbf{p};\mathbf{s}) &= \frac{\lambda_1}{2} \left\| \Psi \left( \mathbf{a} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) - \widetilde{\mu} \right\|_{w}^{2} + \frac{\lambda_1}{2} \left\| \Psi \left( \mathbf{z} \circ \mathbf{g}(\widehat{\mathbf{p}}) \right) \right. \\ &\left. - \widetilde{\mu} \right\|_{w}^{2} + \frac{\lambda_2}{2} \left\| \Psi \left( \mathbf{a} \mathbf{z}^* \circ \mathbf{H}(\widehat{\mathbf{p}}) \right) \Psi^* - \widetilde{\mathbf{C}} \right\|_{W}^{2} + \frac{\rho}{2} \left\| \mathbf{a} - \mathbf{z} + \mathbf{s} \right\|_{2}^{2} \end{split}$$

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• Initialization: random initialization of  $\mathbf{a}^{(0)}, \mathbf{z}^{(0)}, \mathbf{p}^{(0)}$ , and  $\mathbf{s}^{(0)} = \mathbf{0}$ .

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• 
$$\mathbf{a}^{(t+1)} = \operatorname{arg\,min}_{\mathbf{a}} \mathcal{L}(\mathbf{a}, \mathbf{z}^{(t)}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

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$$\mathbf{z}^{(t+1)} = \arg\min_{\mathbf{z}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$$

• 
$$\mathbf{p}^{(t+1)} = \arg \min_{\mathbf{p}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}^{(t+1)}, \mathbf{p}; \mathbf{s}^{(t)})$$

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$$\mathbf{p}^{(t+1)} = \arg\min_{\mathbf{p}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}^{(t+1)}, \mathbf{p}; \mathbf{s}^{(t)})$$

• 
$$\mathbf{s}^{(t+1)} = \mathbf{s}^{(t)} + \mathbf{a}^{(t+1)} - \mathbf{z}^{(t+1)}$$

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•  $\mathbf{z}^{(t+1)} = \arg\min_{\mathbf{z}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}, \mathbf{p}^{(t)}; \mathbf{s}^{(t)})$   
•  $\mathbf{p}^{(t+1)} = \arg\min_{\mathbf{p}} \mathcal{L}(\mathbf{a}^{(t+1)}, \mathbf{z}^{(t+1)}, \mathbf{p}; \mathbf{s}^{(t)})$   
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• Output  $\mathbf{a}^{(t)}, \mathbf{p}^{(t)}$ .

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• Output  $\mathbf{a}^{(t)}, \mathbf{p}^{(t)}$ .

• Remark: each update can be realized by solving simple least squares.

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#### Numerical Results: Clean Case

- Parameters: N = 10000,  $\alpha$  = 1.5 deg ,  $|\kappa|$  = 13, c = 0.3,  $\lambda_1$  = 1,  $\lambda_2$  = 0.5, and  $\rho$  = 1.
- Exact recovery on a projection image of 70S ribsome (up to a rotation).
- Perfect match of view angle distribution (up to a rotation).



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# Numerical Results: Noisy Case



Figure: SNR [dB] = 6.61, -0.32, -4.38, -7.25, -9.49.

• EM algorithm for maximum marginalized log-likelihood estimation:  $\max_{\mathbf{a},\mathbf{p}} \sum_{i=1}^{N} \ln P\left(\widehat{\mathbf{y}}_{i} | \mathbf{a}, \mathbf{p}\right) \text{ s.t. } \mathbf{p} \geq \mathbf{0} \text{ and } \mathbf{1}^{\top} \mathbf{p} = 1.$ 

## Numerical Results: Noisy Case



- Parameters: N = 10000,  $\alpha = 3.8 \deg$  ,  $|\kappa| = 13$ , c = 0.3,  $\lambda_1 = 1$ ,  $\lambda_2 = 5$ , and  $\rho = 1$ .
- Results over 20 independent experiments.
- Performance: ADMM+EM>ADMM>EM.

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• Our paper Two-dimensional Tomography from Noisy Projection Tilt Series Taken at Unknown View Angles with Non-uniform Distribution is available online at: https://ieeexplore.ieee.org/document/8803755

 Our codes are available online at: https://github.com/LingdaWang/2D\_TOMO\_ICIP2019

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